

# Pulmonary System

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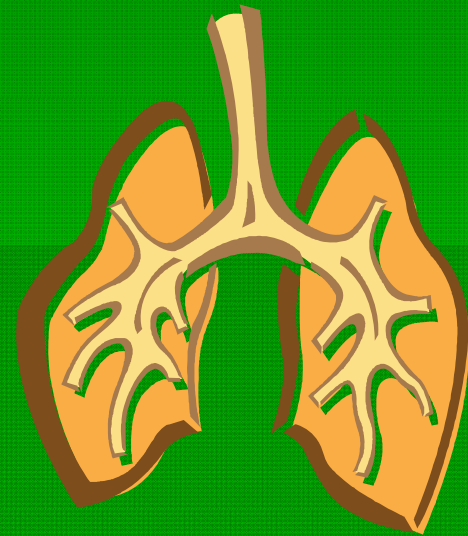
February 6, 2007

- What is the Function of the Pulmonary System?



# Pulmonary Function

- Attain O<sub>2</sub> from environment
- Deliver O<sub>2</sub> to circulatory system
- Remove CO<sub>2</sub> from the body
- Acid-base balance
- Phonation
- Olfaction
- Modification of inspired air
- Pulmonary defense
- Metabolism



# PULMONARY FUNCTION

- VENTILATION
  - Moving O<sub>2</sub>/CO<sub>2</sub> b/t lungs & environment
- DIFFUSION
  - Moving O<sub>2</sub>/CO<sub>2</sub> b/t lungs & capillaries
- TRANSPORT
  - Moving O<sub>2</sub>/CO<sub>2</sub> b/t capillaries & tissues
- REGULATION

# CONTENTS

- **VENTILATION**
- DIFFUSION
- STRUCTURE & FUNCTION
- PULMONARY FUNCTION TESTS
- MECHANICAL VENTILATION

# VENTILATION

What muscles work during normal quiet inspiration?

# VENTILATION

- Normal quiet breathing is performed by the diaphragm only.
  - Diaphragm contracts down
  - Lengthens the chest
  - Increases negative pressure
  - Draws air into the lungs

# VENTILATION

What muscles work during normal quiet expiration?



# VENTILATION

There are NO muscles that WORK during normal quiet expiration.

Expiration occurs when the diaphragm RELAXES or RECOILS upward

- shortens the chest
- reduces the negative pressure
- expels the air

# VENTILATION

What muscles assist with heavy breathing?

# VENTILATION

- Rib Cage
  - Natural position: ribs slanted down
  - External intercostal muscles contract → Inspiration
    - Rib cage elevates
    - Pulls the sternum away from the spine
    - Increases the A-P diameter by 20%
  - Internal intercostal muscles contract → Expiration
    - Rib cage depresses
    - Pulls the sternum down
    - A-P diameter decreases

# VENTILATION

- Muscles of Heavy Inspiration: Raises the Rib Cage
  - External Intercostals
  - SCM (lifts the sternum)
  - Anterior Serratus (lifts the ribs)
  - Scalene Muscles (lifts 1<sup>st</sup> two ribs)
- Muscles of Heavy Expiration: Depresses the Rib Cage
  - Abdominal Recti
  - Internal Intercostals

# VENTILATION

Muscles can change the shape and therefore the pressure inside the thoracic cavity to assist with breathing

# VENTILATION

## Pleural Pressure

- Continual suction of excess fluid into lymphatics
- Normally a slightly negative pressure

-5 cm H<sub>2</sub>O

(The amount required to keep lungs open at the resting level)

- Normal inspiration: ↑ pleural pressure

-7.5 cm H<sub>2</sub>O

# VENTILATION

## Alveolar Pressure

Pressure inside the lung alveoli

- With no airflow and open glottis,  
alveolar pressure = atm pressure = 0

- Normal inspiration → -1 cm H<sub>2</sub>O
- Normal expiration → +1 cm H<sub>2</sub>O

# VENTILATION

- Alveolar Pressure change of  $-1 \text{ cm H}_2\text{O}$   
AND
- Pleural Pressure change of  $-2.5 \text{ cm H}_2\text{O}$

→ Moves 500 mL air into the lungs



# VENTILATION

## Transpulmonary Pressure

Alveolar Pressure – Pleural Pressure

Measure of the elastic forces

≈ Recoil Pressure

# VENTILATION

What is the measure of how well the lungs expand for the pressure applied?

# VENTILATION

## COMPLIANCE

- Normal compliance for the lung =  
200 ml / cm H<sub>2</sub>O
- Two Factors:
  - Elastic Forces of the Lung Tissue
  - Elastic Forces of the Surface Tension

# VENTILATION

## Elastic Forces of Lung Tissue

only 1/3 contribution to compliance

depends on elastin & collagen fibers in the lung parenchyma

# VENTILATION

## Elastic Forces of Surface Tension

2/3 contribution to compliance

What is Surface Tension?

Water on the surface sticks together by contracting together

ex: Raindrops

# VENTILATION

- What about water in the alveoli??

The thin layer of water inside the alveoli:

- contracts together
- tries to push the air out
- collapses the alveoli

- Surface Tension  $\approx$  Elastic Force

# VENTILATION

What agent decreases the surface tension inside alveoli??

# VENTILATION

## Surfactant

Made by Type II alveolar epithelial cells

Contains: phospholipids, proteins, ions

Key Ingredients:

Dipalmitoylphosphatidylcholine

Apoproteins

Calcium ions



# VENTILATION

- Dipalmitoylphosphatidylcholine:
  - One portion hydrophilic—spreads over alveolar water
  - One portion hydrophobic—orients toward air in alveoli
  - Does not dissolve in fluid
  - Decreases the normal surface tension by 1/12 to 1/2

# VENTILATION

- Apoproteins & Calcium ion
  - Allow phospholipid to spread faster over alveolar surface.
  - Surfactant not functional without them

# VENTILATION

- Surface Tension

- Pure Water 72 dynes / cm
- Alveoli 50 dynes / cm
- Alveoli w/ Surfactant 5-30 dynes / cm

# VENTILATION

## Factors of Inspiration

- Muscles
- Pleural Pressure
- Alveolar Pressure
- Compliance
- Surfactant

## Factors of Expiration

- Collapse Pressure
- Surface Tension
- Recoil Pressure
- Muscles

## Measures

- Lung Volumes / Capacities
- Minute Ventilation
- Alveolar Ventilation
- Dead Space

# VENTILATION

Collapse Pressure

$$= \frac{2 \times \text{Surface Tension}}{\text{Radius}} = 4 \text{ cm H}_2\text{O}$$

The smaller the alveolus, the greater the collapse pressure

# VENTILATION

In premature babies:

- Alveoli are usually  $\frac{1}{4}$  the normal size
  - Surfactant is secreted b/t 6<sup>th</sup> & 7<sup>th</sup> gestational month
  - Therefore, a premature baby could have a collapse pressure of  $> 30$  mm Hg
- 
- RESPIRATORY DISTRESS SYNDROME OF THE NEWBORN

# VENTILATION

- Compliance of Lung-Thorax system is =  $\frac{1}{2}$  that of lungs alone (200 ml / cm H<sub>2</sub>O)

110 ml / cm H<sub>2</sub>O

Therefore, it takes 2x the energy for normal inspiration

# VENTILATION

- Compliance Work
- Tissue Resistance Work
- Airway Resistance Work



# VENTILATION

- Compliance Work
  - Overcomes elastic forces
  - =  $\Delta \text{ Volume} \times \Delta \text{ Pressure}$   
2

# VENTILATION

- Tissue Resistance Work
  - Overcomes the viscosity of the lungs
- Airway Resistance Work
  - Overcomes resistance to airflow thru respiratory passageways

# VENTILATION

- Usually compliance  $\gg$  airway  $>$  tissue resistance work
- Usually there is no work during expiration...
- Heavy Breathing:  $\uparrow\uparrow$  airway resistance work
- Pulmonary disease: all work  $\uparrow$
- Fibrosis:  $\uparrow$  compliance & tissue resistance work
- Airway Obstruction:  $\uparrow$  airway resistance work
- Asthma:  $\uparrow$  airway resistance work in expiration  $>$  inspiration

# VENTILATION

- Measurements
  - Volumes (4)
  - Capacities (4)

# VENTILATION

What are the four lung volumes?

# VENTILATION

- Tidal Volume (TV or  $V_T$ )
  - Normal breath 500 ml
- Inspiratory Reserve Volume (IRV)
  - Maximum Inspiration p  $V_T$  3000 ml
- Expiratory Reserve Volume (ERV)
  - Maximum Expiration p  $V_T$  1100 ml
- Residual Volume (RV)
  - Air left in lungs p ERV 1200 ml

# VENTILATION

What are the four lung capacities?

# VENTILATION

- Capacities are combos of lung volumes

- Inspiratory Capacity (IC)

- $V_T + IRV$  3500 ml

- Functional Residual Capacity (FRC)

- $ERV + RV$  2300 ml

- Vital Capacity (VC)

- $IRV + V_T + ERV$  4600 ml

- Total Lung Capacity (TLC)

- $IRV + V_T + ERV + RV$  5800 ml



# VENTILATION

- Minute Ventilation ( $V_E$ ) =  $V_T \times RR$

Normal = 6 L / min

- Alveolar Ventilation ( $V_A$ )

- Normal tidal volume gets most of the air only to the terminal bronchioles
- The velocity of the air molecules moving a short distance to the alveoli occurs by diffusion
- Rate at which new air reaches respiratory bronchioles

# VENTILATION

- Alveolar Ventilation

$$= RR \times (V_T - V_D)$$

Normal = 4200 ml / min

One of the major factors determining concentration of O<sub>2</sub> and CO<sub>2</sub> in the alveoli

# VENTILATION

- Dead Space ( $V_D$ )

- Dead Space Air

- Air that stays in the nose, pharynx, trachea, terminal bronchioles

- Dead Space

- Respiratory passages where no gas exchange occurs
    - Normal  $V_D$  is 150 ml (increasing with age)

# VENTILATION

- Anatomic Dead Space
  - Volume of the respiratory passages not in the gas exchange areas
- Physiologic Dead Space
  - Additional volume of air in gas exchange areas that are not functional

# VENTILATION

What conditions can increase the physiologic dead space?

# VENTILATION

- Things that decrease perfusion:
  - Pulmonary Embolus
  - Pulmonary HTN
  - Decreased Cardiac Output
  - High PEEP
  - ARDS
- Causes high  $P_a\text{CO}_2$

# VENTILATION

- Alveolar Ventilation

$$= RR \times (V_T - V_D)$$

Normal = 4200 ml / min

One of the major factors determining concentration of O<sub>2</sub> and CO<sub>2</sub> in the alveoli

# VENTILATION

## Factors of Inspiration

- Muscles
- Pleural Pressure
- Alveolar Pressure
- Compliance
- Surfactant

## Factors of Expiration

- Collapse Pressure
- Surface Tension
- Recoil Pressure
- Muscles

## Measures

- Lung Volumes / Capacities
- Minute Ventilation
- Alveolar Ventilation
- Dead Space



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- **DIFFUSION**
- STRUCTURE & FUNCTION
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# DIFFUSION

- Gas Exchange between alveoli and capillaries
- Hydrostatic Pressure difference between the apex and the base of the lung  
≈ 23 mm Hg difference

# DIFFUSION

## Three Zones of Blood Flow

- ZONE 1—No Flow:  $P_A > P_a$
- ZONE 2—Intermittent Flow:  $SBP > P_A$  but  
 $DBP < P_A$
- ZONE 3—Continuous Flow:  $P_a > P_A$

# DIFFUSION

- Exercise increases blood flow to the top of the lung ~ 700-800% and to the bottom of the lung ~200-300% creating equalization.
- The entire lung becomes Zone 3 blood flow

# DIFFUSION

- V/Q Ratio
  - Usually 0.8 to 1.2
  - If V/Q ratio increases: (vice versa)
    - There is more O<sub>2</sub> in the alveoli that are not delivered to the blood volume
    - $\uparrow P_A O_2$  and  $\downarrow P_A CO_2$
- Shunt
  - Perfused, but not ventilated
  - Mixed venous blood from the right heart shunted to the left heart without gas exchange
  - Not correct by administration of oxygen

# DIFFUSION

- Similar molecular weights b/t  $O_2$  and  $CO_2$
- Diffusion rate of  $O_2$  in the gaseous phase is 1.2 x that of  $CO_2$
- Diffusion rate of  $CO_2$  in the liquid phase is 20 x that of  $O_2$
- $CO_2$  diffuses until the partial-pressure gradient is equalized
- $O_2$  diffuses into the blood combining with Hb maintaining the original partial-pressure gradient to saturate all the Hb

# DIFFUSION

- Fick's Law

- Rate of Gas Diffusion =  
Area x Gas Diffusion Coefficient x  
Pressure Gradient / Barrier Thickness

- $$V_{\text{gas}} = \frac{A \times D_{\text{gas}} \times \Delta P}{T}$$

# DIFFUSION

- Easier to measure diffusion capacity of Carbon Monoxide than Oxygen:
  - $DL_{CO}$
  - Divide uptake of CO by measure  $P_aCO$
  - Based on
    - Diffusing Capacity of Alveolar-Capillary Membrane
    - Hb-CO reaction
    - Pulmonary Capillary Blood Flow



# DIFFUSION

- O<sub>2</sub> content of blood

$$C_aO_2 = (\text{Hb} \times 1.34 \times O_2\text{sat}) + 0.0003 P_aO_2$$

# DIFFUSION

- Oxyhemoglobin Dissociation Curve

$O_2$  % saturation vs  $P_aO_2$

Function of Hb's changing affinity for  $O_2$

Normal p50 = 50%  $O_2$ sat ~ 27 mmHg

# DIFFUSION

- Right Shift:
  - Low pH
  - High temperature
  - High  $p\text{CO}_2$
  - High levels of 2,3-DPG ( ↓ affinity of Hb for  $\text{O}_2$ )
  - High ATP
- Encourages  $\text{O}_2$  offloading making it more available for tissue

# DIFFUSION

- Alveolar gas equation

- $P_A O_2 = P_I O_2 - P_A CO_2 / 0.8 + F$

- A-a gradient equation

- $P_{(A-a)} O_2 = P_A O_2 - P_a O_2$

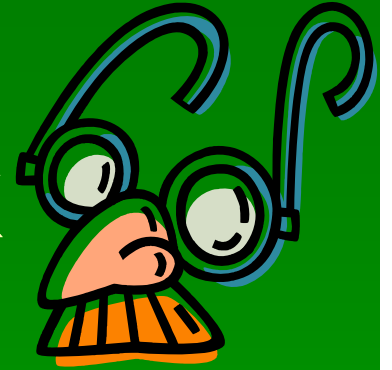
# DIFFUSION

- Causes of Hypoxemia
  - V/Q mismatch (most common)
  - Shunt
  - $\downarrow P_{A}O_2$
  - Hypoventilation
  - $\uparrow$  Diffusion gradient

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# Airways--Nasopharynx



- 10,000 L of Toxic, Infected, Irritating Air
- Functions:
  - Filter
  - Humidify
  - Warm
- SNIFFING: abrupt inspiration allowing olfactory system to detect hazard before full breath

# Airways— Tracheobronchial Tree

- 23 Generations of airway branching
  - Conducting Zone: 1-16 generations
  - Transition Zone: 17-19 generations
  - Respiratory Zone: 20-23 generations
- Anatomic Dead Space =
  - Conducting Zone of the airway
  - Nasopharynx, Larynx, Trachea



# Tracheobronchial Tree

- Three groups of histology / function
  1. Trachea, major lobar, and segmental bronchi
  2. Membranous and terminal bronchioles
  3. Respiratory bronchioles, alveolar ducts, and alveolar air sacs

# Tracheobronchial Tree

- Trachea, Major Lobar Bronchi & Segmental Bronchi
  - Cartilaginous Walls
  - Ciliated, Pseudostratified, Columnar Epithelium
  - Mucus-secreting goblet cells
  - MUCOCILIARY ESCALATOR
  - Submucosal glandular cells secrete lactoferrin (bacteriostatic agent)

# Tracheobronchial Tree

- Membranous and Terminal Bronchioles
  - No cartilage
  - Large amt of smooth muscle & elastic fibers
  - Neuroendocrine (APUD) cells
    - Serotonin, Dopamine, Norepinephrine, VIP
  - Mast cells
  - Autonomic Nervous System

# Tracheobronchial Tree

- Mast cells
  - Histamine, Lysosomal Enzymes, Leukotrienes, Platelet-Activating Factor, Chemotactic Factors, Serotonin
  - Bronchoconstriction
  - Anaphylactic Reactions
  - Immune/Inflammatory Responses of the lung
  - Cardiopulmonary Reflexes

# Tracheobronchial Tree

- Autonomic Nervous System
  - Vagus → Bronchoconstriction, Glandular Secretion
  - SNS → Bronchodilation  
Inhibit Glandular Secretion
  - $\alpha$ -receptors: Bronchoconstriction
  - $\beta$ -receptors: Bronchodilation
  - Circadian Rhythm of Bronchial Smooth Muscles by PSNS: bronchoconstriction in the a.m., bronchodilation in the p.m.

# Tracheobronchial Tree

## ■ Bronchodilators

- SNS
- $\beta$ -receptors
- $\uparrow\text{CO}_2$
- $\downarrow\text{O}_2$

## ■ Bronchoconstrictors

- PSNS
- $\alpha$ -receptors
- thromboxane (plts)
- histamine (mast cells)
- cool temperature
- arterial chemoreceptors
- $\downarrow\text{CO}_2$

# Tracheobronchial Tree

- Respiratory Bronchioles & Alveoli
  - Kohn pores
  - Clara cell
  - Type I pneumocytes
    - 95% simple squamous layer on alveolar surface
    - Primary diffusion surface
  - Type II pneumocytes
    - Cuboidal cells
    - Surfactant
    - Regeneration of Type I pneumocytes
  - Alveolar Macrophage

# Chest Wall

- Bony thoracic cage for protection
- Accessory muscles of respiration
- Maintains negative intrathoracic pressure by the intrinsic outward elastic recoil of the curved ribs



# Pleura

- Parietal Pleura
  - Corpuscular sensory endings
  - Free somatic nerve endings
  - Primary Function: absorption via lymphatics
- Visceral Pleura
  - PSNS, SNS innervation
  - Primary Function: lubrication
    - Microvilli produce hyaluronic acid-glycoprotein
- Pleural Fluid
  - Typical Volume = 5-15 ml
  - Typical Turnover = 1-2 L / day

# Pulmonary Vasculature

- Pulmonary arteries
  - Entire right ventricle output of mixed venous blood
  - Distensible, low-pressure system
  - SNS—vasoconstriction
  - PSNS—vasodilation
  - Branches follow the airways into alveolar-capillary units for gas exchange

# Pulmonary Vasculature

- Inspiration increases total pulmonary vascular resistance
- Resistance is the result of passive forces
  - Distension
  - Recruitment—opening of new, previously closed capillaries from increased perfusion pressure overcoming the critical opening pressure

# Pulmonary Vasculature

## ■ Vasoconstrictors

- Histamine
- Thromboxane
- Hypoxia
- Hypercapnia
- Prostaglandins F & E

## ■ Vasodilators

- Isoproterenol
- Acetylcholine
- PGE<sub>1</sub>
- PGI<sub>2</sub>

# Pulmonary Vasculature

- **Bronchial Arteries**
  - Supplied from the aorta, intercostal arteries
  - Oxygenated arterial blood
  - Systemic pressure
- **Venous Drainage**
  - Bronchial unsaturated blood drains to
    - Azygous-hemiazygous veins
    - Pulmonary veins (anatomic right-to-left shunt)

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- **PULMONARY FUNCTION TESTS**
- MECHANICAL VENTILATION

# PULMONARY FUNCTION TESTS

- Static Lung Volumes
  - Decreased in restrictive lung dz
  - Increased RV, FRC, TLC in obstructive dz (air trapping on expiration)
- Dynamic Lung Characteristics
  - $FEV_1$  Decreased in COPD
  - FVC
  - $FEV_1/FVC$  Decreased in COPD, NI/Increased in Restrictive Dz

# Pulmonary Function Assessment

- Forced Vital Capacity (FVC)
  - Assessment of muscle strength & airway resistance
  - Measures ability for deep breathing & coughing postoperatively
- Forced Expiratory Volume (FEV)
- $FEV_1/FVC$ 
  - Abnormal if  $< 0.7$
  - Increased risk for major surgery if  $< 0.5$



# PULMONARY FUNCTION TESTS

- Requirement for thoracic surgery
  - Predicted post-op values
    - $FEV_1 > 0.8$  (>40% predicted)
    - $DL_{CO} > 11-12$  ml/min/mmHgCO (>50% predicted)
    - $FVC > 1.5$  L
  - Pre-op values
    - $PCO_2 < 45$
    - $PO_2 > 50$
    - $VO_2 \text{ max} < 10$
  - V/Q scan for lung contribution to  $FEV_1$  if  $< 2L$

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# MECHANICAL VENTILATION

- Indications
  - Dysfunction:
    - CNS
    - Chest Wall
    - Airway
    - Respiratory Muscles
    - Alveoli

# MECHANICAL VENTILATION

- CNS dysfunction
  - GCS < 8 for airway protection
    - Narcotic Overdose
    - Closed Head Injury
- Chest Wall dysfunction
  - Flail chest
  - Open PTX
  - Marked Scoliosis

# MECHANICAL VENTILATION

- Airway Dysfunction
  - Facial Trauma
  - Anaphylaxis
  - Foreign Body / Mass
- Respiratory Muscle Fatigue / Disease
- Alveolar Dysfunction
  - Pulmonary Edema
  - Pneumonia

# MECHANICAL VENTILATION

- Also
  - $\text{PaCO}_2 > 45$
  - $\text{PaO}_2 < 55$  (w/ supplemental oxygen)
  - $\text{RR} > 35$
- Always accepted criteria: clinical judgment

# MECHANICAL VENTILATION

- Modes
  - Assist Control (AC)
  - Intermittent Mandatory Ventilation (IMV)
  - Pressure Support (PS)
  - Pressure Control (PC)

# MECHANICAL VENTILATION

- AC
  - Volume given at preset intervals
  - Patient breath triggers volume delivery
- IMV
  - Volume given at preset intervals
  - Patient breath not mechanically supported
  - Synchronous setting prevents stacking
- PS
  - Positive pressure with each patient effort
  - Volume depends on PS, patient effort, compliance
- PC
  - Peak pressure, inspiratory time, RR are set
  - Volume depends on compliance
  - Good for ARDS to decrease barotrauma



# MECHANICAL VENTILATION

- PEEP (Positive End-Expiratory Pressure)
  - Increases FRC
  - Improves compliance
  - Best way to improve oxygenation
- Pressure Support
  - Decreases Work of Breathing

# MECHANICAL VENTILATION

- To decrease  $P_a\text{CO}_2$ :
  - Increase Tidal Volume
  - Increase Respiratory Rate
- To increase  $P_a\text{O}_2$ :
  - Increase PEEP
  - Increase  $F_i\text{O}_2$

# MECHANICAL VENTILATION

- Complications
- Too much PEEP
  - Decrease Preload
  - Decrease CO
  - Decrease Renal Blood Flow & UOP
  - Increase PVR
- Too much Oxygen
  - Oxygen radical toxicity if  $F_iO_2 > 60-70\%$  for  $> 24$  hours
- Barotrauma
  - Plateau pressure  $> 30$ , Peak pressure  $> 50$

# MECHANICAL VENTILATION

- Complications
- ET Tube
  - Tracheal Stenosis
  - Laryngeal Injury
  - Tracheomalacia
  - Tracheo-innominate Fistula
- Infection
  - Sinusitis
  - Pneumonia

# MECHANICAL VENTILATION

- Weaning Parameters

- Settings:

- $FiO_2 < 35\%$
- PEEP 5
- PS 5
- RR < 24

- ABG:

- pH 7.35-7.45
- $pO_2 > 60$
- $pCO_2 < 50$

- Measurements:

- $V_E < 10 \text{ L / min}$
- $V_T > 5 \text{ mL / kg}$
- $VC > 10 \text{ mL / kg}$
- Neg Insp Force > 20 cmH20

- Vital Signs:

- HR < 120
- $O_2 \text{ Sat} > 93\%$

- Misc:

- Off pressors
- Follows commands
- Can protect airway

# CONCLUSION

- VENTILATION
- DIFFUSION
- STRUCTURE & FUNCTION
- PULMONARY FUNCTION TESTS
- MECHANICAL VENTILATION